

NOTICE OF MEETING

AUDIT COMMITTEE

TO: Stuart Bauld (Chairperson)
Alan Curtis
Cr John Kirikiri (Deputy Mayor)
Roy Tiffin

The Audit Committee will meet in the Committee Room, Centreway Road, Orewa on Tuesday, 6 July 2010, commencing at 11.30 a.m.

for: CHIEF EXECUTIVE
Rodger Kerr-Newell

OREWA
29 June 2010

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CONFIDENTIAL

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ITEM NO: 1

APOLOGIES

ITEM NO: 2

CONFLICTS OF INTEREST

Members of the Committee are aware of the need to be vigilant and where appropriate stand aside from decision making when a conflict of interest arises between their role as a member of this Committee and any other private or other external interest they might have. This note is provided as a reminder to Members to check that no such conflicts arise in relation to any items on this agenda.

ITEM NO: 3

REPORT



TO Audit Committee
ON 6 July 2010
SUBJECT **ACKNOWLEDGEMENTS OF MINUTES**
FILE REF CF/1/1

The minutes of the previous meeting held on 9 February 2010 are attached as **Appendix 1**.

RECOMMENDATION:

That the minutes of the meeting dated 9 February 2010 be acknowledged and received.

APPENDIX 1

AUDIT COMMITTEE

MINUTES: of a meeting of the Audit Committee which commenced at 11.32 a.m. in the Committee Room, Centreway Road, Orewa on Tuesday, 9 February 2010.

PRESENT: Stuart Bauld (Chairperson)
Alan Curtis
Cr John Kirikiri (Deputy Mayor)
Roy Tiffin

IN ATTENDANCE: Director: Corporate Services (Kevin Ramsay)
Manager: Finance (Morna Macfarlane)
Chief Legal Officer (Rob Goldsbury 1.26 pm – 1.44 pm)
Democracy Services Officer (Raewyn Morrison)

Note: Karen Mackenzie, Audit Director from Audit New Zealand was also present at the meeting.

* * * * *

026/02/10 APOLOGIES
AGENDA ITEM NO. 1

Apologies were received from Catherine Trussler, Audit Manager: Audit New Zealand, and Rodney District Council's Group Manager: Financial Services, Warren Stevens.

Kirikiri/Curtis

That the apologies from Catherine Trussler and Warren Stevens be received and sustained.

Carried

027/02/10 CONFLICTS OF INTEREST
AGENDA ITEM NO. 2

Mr Tiffin reminded members of the Audit Committee that he was the General Manager of the Accounting Standards Review Board and that he was also a member of the Auckland City Council Risk and Audit Committee; however he did not think either of these positions posed a conflict of interest.

9 February 2010

**028/02/10 ACKNOWLEDGEMENT AND CONFIRMATION OF THE AUDIT
COMMITTEE MINUTES DATED 20 OCTOBER 2009****FILE REF:** CF/1/1

There were no matters arising from the minutes of the meeting of 20 October 2009. The Audit Committee requested that the previous meeting's minutes be included as a standard item on future agendas. It was also agreed that in future the draft minutes would be circulated to the Audit Committee for comment and that the Director: Corporate Services (Kevin Ramsay) would co-ordinate feedback on these.

Bauld/Kirikiri

That the minutes of the meeting dated 20 October 2009 be acknowledged and received.

Carried

029/02/10 EXTERNAL AUDIT ARRANGEMENTS**FILE REF** CF/1/1**AGENDA ITEM NO.** 3

Karen Mackenzie, Audit Director from Audit New Zealand, gave an overview of the areas of focus for the 2010 Rodney District Council audit which would cover the 16 months to 31 October 2010. After this date, with the new Auckland Council, the Rodney District Council Audit Committee will cease to exist.

She said that ideally Audit New Zealand would have liked to have presented a draft arrangements letter to the meeting, but this was still in development. Essentially the document considered whether the performance framework was appropriate and whether it fairly reflected the sort of activities the Council had been involved in and what it had achieved.

With regard to weather tightness, Director: Corporate Services (Kevin Ramsay) informed the Audit Committee that the Council was one of the parties in a statement of claim which had been lodged with the Auckland High Court by the Nautilus body corporate and owners of 147 of the building's 152 apartments. The Council was currently preparing a statement of defence. The claim against the Council was for approving the design, inspecting the construction and issuing a code of compliance certificate for the completed building. Five other parties had been named in the claim – the builder Brookfield Multiplex Construction, Walker Architects and three contractors. He noted that the total claim was for \$30 million.

The Committee requested that it be noted that from a risk management point of view the Council needed to obtain good legal advice regarding severance payments which had the potential to be a major audit and risk management issue.

The Committee also requested that the section on elections be removed from the areas of focus document as it was irrelevant. It was also noted that the adoption of the next Annual Report would be done by the new Auckland Council and that needed to be written into the audit process; there needed to be clarification in regard to the name of the council and dates needed to be noted.

9 February 2010

Mr Ramsay informed the Audit Committee that the general intention was not to trigger any need for consultation in regard to the Long Term Council Community Plan (LTCCP) with specific issues raised being addressed on a case by case basis. With regard to Penlink, Mr Ramsay said the Council was waiting to hear the outcome of a meeting between Government officials and Council representatives which was held prior to Christmas.

The Audit Committee agreed to deal with the final form of the document at the next meeting.

Curtis/Tiffin

That the information is received.

Carried

030/02/10	AUDIT NEW ZEALAND MANAGEMENT REPORT FOR THE YEAR ENDING 30 JUNE 2009
FILE REF	CF/1/1
AGENDA ITEM NO.	4

It was acknowledged that the Audit Committee had made a positive impact on the Council's management control environment of its procedures and processes.

Director: Corporate Services (Kevin Ramsay) informed the Audit Committee that the Inland Revenue Department would be doing a tax control audit of all the dissolving councils.

Karen Mackenzie, Audit Director from Audit New Zealand, advised that discussion was continuing between Rodney District Council and Audit New Zealand in regard to the New Zealand Equivalent to International Accounting Standard 38, Intangible Assets (NZ IAS 38).

Manager: Finance (Morna Macfarlane) said that work was progressing on a common accounting policy under the Auckland Transition Agency. The Rodney District Council was working on determining the correct stance and if required seeking independent advice as it could not use Audit NZ as technical advisors. Mr Ramsay advised that the Council was waiting for clear instructions in regard to the future of Rodney Properties Limited, and that work had been put on hold in filling the position of Procurement Manager for the Council.

Ms Mackenzie advised that the dates noted in Appendix 2 of the Management Report had subsequently been updated and that the issue in regard to Araparera Joint Venture would be resolved when the new Auckland Council determined its status.

With regard to preferred suppliers and the review of those suppliers, Mr Ramsay said there were specific policies in place for professional and physical works projects. Mr Ramsay outlined the contract term, being a fixed 3 year term (deemed to be the optimum level) and said that reassessment was done after that period. For the benefit of the Audit Committee, Mr Ramsay explained the process of determining primary contractors.

Tiffin/Kirikiri

That Audit New Zealand's Management report on the Audit for the 2008/09 financial year be received.

Carried

031/02/10 **RODNEY PROPERTIES LIMITED ANNUAL REPORT AND AUDIT NEW ZEALAND MANAGEMENT REPORT FOR THE YEAR ENDING 30 JUNE 2009**

FILE REF CF/1/1

AGENDA ITEM NO. 5

Tiffin/Curtis

That the financial statements and Audit New Zealand's Management report on the audit of Rodney Properties Limited for the 2008/09 financial year be received.

Carried

12.52 p.m. – 1.26 p.m. Luncheon adjournment

032/02/10 **LEGAL COMPLIANCE FRAMEWORK**

FILE REF CF/1/1

AGENDA ITEM NO. 6

Chief Legal Officer (Rob Goldsbury) was in attendance for this item.

Chief Legal Officer (Rob Goldsbury) addressed the Audit Committee on the Legal Compliance Framework. His recommendation was that, given the short timeframe, it was not worth spending money and time on systems which would be dismantled on 1 November 2010 when Rodney District Council ceased to exist. Mr Goldsbury advised that this issue was on the list of the Auckland Transition Agency Risk and Insurance Workstream and ultimately this would be developed and implemented by the new Auckland Council.

Director: Corporate Services (Kevin Ramsay) advised that there was no structured formal process for contract sign off at present. He said that Mr Goldsbury kept a watching brief on the legal risk issues in regard to contracts and that this sat within the risk management profile which had to be reported on from time to time. The Infrastructure Directorate had the biggest portion of contracts and it had protocols in place. External legal providers reviewed contracts which weren't standard (template) contracts.

The Audit Committee requested that contracts which were not standard (routine) that weren't going to the Auckland Transition Agency for approval be referred to the Council's Legal Team to determine whether there needed to be an internal or external review for compliance. The Audit Committee requested that the Director: Corporate Services (Kevin Ramsay) and Chief Legal Officer (Rob Goldsbury) explore developing a policy in this regard.

Curtis/Kirikiri

That the report be received.

Carried

1.44 p.m. Mr Goldsbury retired from the meeting.

9 February 2010

033/02/10 **UPDATE ON AUCKLAND REGIONAL GOVERNANCE**
FILE REF **CF/1/1**
AGENDA ITEM NO. **7**

Director: Corporate Services (Kevin Ramsay) updated the Audit Committee on Auckland Regional Governance. He advised that the Council's submission on the Local Government (Auckland Law Reform) Bill would be lodged by the end of the week and would include comment on the spatial plan concept and strategic vision.

Tiffin/Kirikiri

That the update on Auckland Regional Governance be received.

Carried

The meeting closed at 2.12 p.m.

CONFIRMED AS A TRUE AND CORRECT RECORD THIS 4TH DAY OF MARCH 2010

MAYOR

* * * * *

REPORT



TO Audit Committee
 ON 6 July 2010
 FROM Morna Macfarlane – Manager: Finance
 APPROVED BY Kevin Ramsay – Director: Corporate Services
 SIGNATURE

SUBJECT EXTERNAL AUDIT ARRANGEMENTS
 FILE REF CF/1/1

PURPOSE OF REPORT:

<input type="checkbox"/> Information only	<input type="checkbox"/> Strategic decision	<input type="checkbox"/> Policy decision	<input type="checkbox"/> Statutory process
<input type="checkbox"/> Community issue	<input type="checkbox"/> Contract decision	<input type="checkbox"/> Delegation	<input type="checkbox"/> Appointment
<input checked="" type="checkbox"/> Administrative matter			

IMPLICATIONS:

(i)	Is this matter significant in terms of Council's Policy on Significance? No
(ii)	Implications in terms of Vision Rodney? No
(iii)	Implications in terms of Long Term Council Community Plan / Annual Plan? No
(iv)	Implications in terms of other Council Strategic documents or Council Policy? No
(v)	Is a budget amendment required? No
(vi)	Have the views of affected or interested persons been obtained and is any further public consultation required? Not applicable
(vii)	Does a decision on this matter require Auckland Transition Agency approval? (yes / no / advice being sought?) No

FINANCIAL IMPLICATIONS:

Capital cost implications	Nil
Is it currently budgeted for?	Not applicable
Funding source of capital costs	Not applicable
Ongoing operational cost implications	Nil
Is it currently budgeted for?	Not applicable
Funding and rating impact (whether resulting from capital expenditure or arising directly)	Not applicable

SUMMARY:

Attached as **Appendix 1** is the draft Audit Arrangements Letter issued by Audit New Zealand. The arrangements cover the period from 1 July 2009 to 31 October 2010, a sixteen month period.

Rodney District Council is responsible for activities up to 31 October, including the need to maintain appropriate controls and to keep proper accounting records. The Auckland Council has the responsibility to prepare and adopt the Council's Annual Report.

The Audit Arrangements Letter outlines:

- the issues which have been identified arising from the Auckland Region re-organisation;
- business risks and issues;
- risks and issues across all local authorities;
- audit logistics (such as personnel, timing, and fees).

The proposed audit fee for the sixteen month period is \$213,455 (including disbursements). \$13,341 per month (\$160,092 for 12 months) this compares to the audit fee for the 2008/09 financial year which was \$147,100 (including disbursements)

Note: Karen Mackenzie (Audit Director) – Audit New Zealand will be present for this item.

RECOMMENDATION:

That the information is received and that following discussion and agreement that the Audit Committee recommends to the Council to sign the Audit Arrangements letter.

APPENDIX 1

24 June 2010

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150 Queen Street

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(0608 985 4269)

Fax 09 366 5215

Penny Webster
Mayor
Rodney District Council
PO Box 500
Orewa, Auckland

Mark Ford
Executive Chairman
Auckland Transition Agency
PO Box 99394
New Market, Auckland

Dear Penny and Mark

Audit for the 16 month period ending 31 October 2010

I am pleased to enclose the audit arrangements document for the audit of Rodney District Council for the 16 month period ending 31 October 2010. The yet to be formed Auckland Council has shared responsibility for preparing the District Council's annual report and will then have sole responsibility for adopting it. Therefore, this letter is also addressed to the Auckland Transition Agency in its capacity as agent for the new Council.

The audit arrangements cover a period in which Rodney District Council will be dissolved. That change brings with it a number of new and different challenges and risks, in addition to the "business as usual" matters, that the existing Council, the Auckland Transitional Agency (ATA), the new Auckland Council and we have to address.

Not least of these is the number of parties involved in the reporting process. Rodney District Council is responsible for activities up to 31 October, including the need to keep proper accounting records. However, as noted above, the new Auckland Council will prepare and adopt the 16 month "Annual" Reports for each of the dissolving Councils.

In addition to providing the necessary assurance to issue our audit opinion, this audit is also intended to support and inform the accountability requirements for the closure requirements for the eight dissolving councils, the new Auckland Council planning document and the opening balance sheet for the new Auckland Council. We consider this to be an important part of the smooth transition.

Our audit work will span the periods for which Rodney District Council, the ATA and Auckland Council have responsibility. This letter sets out the audit arrangements with Rodney District Council and the ATA (up to 31 October 2010). The Local Government (Tamaki Makaurau Reorganisation) Act sections 10 (3) and 13 (1) (a) provide for the ATA to "...plan and manage all matters in relation to the reorganisation...". Although the ATA does not formally have any role or responsibility in its own right in relation to the preparation of the annual report, as part of planning and managing the reorganisation, the ATA will assist as necessary with the preparation of the annual report up until the date of its disestablishment on 31 October 2010, thereafter the Auckland Council will assume this responsibility.

*Draft for clearance/discussion purposes only. Not to be used without permission of its author.
To be destroyed by the client upon finalisation of the AAT*

PH: 0508 985 4269

Acknowledgement

I acknowledge that this letter is in accordance with my understanding of the arrangements that relate to the District Council aspects of the audit engagement.

Signed _____ Date _____
Penny Webster
Mayor

I acknowledge that this letter is in accordance with my understanding of the arrangements that relate to the ATA/Auckland Council aspects of the audit engagement.

Signed _____ Date _____
Mark Ford on behalf of the ATA and Auckland Council
Auckland Transition Agency – Executive Chairman

REPORT



TO Audit Committee
 ON 6 July 2010
 FROM Kevin Ramsay – Director: Corporate Services
 APPROVED BY Kevin Ramsay – Director: Corporate Services
 SIGNATURE

SUBJECT UPDATE ON AUCKLAND REGIONAL GOVERNANCE
 FILE REF CF/1/1

PURPOSE OF REPORT:

<input checked="" type="checkbox"/> Information only	<input type="checkbox"/> Strategic decision	<input type="checkbox"/> Policy decision	<input type="checkbox"/> Statutory process
<input type="checkbox"/> Community issue	<input type="checkbox"/> Contract decision	<input type="checkbox"/> Delegation	<input type="checkbox"/> Appointment
<input type="checkbox"/> Administrative matter			

IMPLICATIONS:

(i)	Is this matter significant in terms of Council's Policy on Significance?
	No
(ii)	Implications in terms of Vision Rodney?
	No
(iii)	Implications in terms of Long Term Council Community Plan / Annual Plan?
	No
(iv)	Implications in terms of other Council Strategic documents or Council Policy?
	No
(v)	Is a budget amendment required?
	No
(vi)	Have the views of affected or interested persons been obtained and is any further public consultation required?
	Not applicable
(vii)	Does a decision on this matter require Auckland Transition Agency approval? (yes / no / advice being sought?)
	No

FINANCIAL IMPLICATIONS:

Capital cost implications	Nil
Is it currently budgeted for?	Not applicable
Funding source of capital costs	Not applicable
Ongoing operational cost implications	Nil
Is it currently budgeted for?	Not applicable
Funding and rating impact (whether resulting from capital expenditure or arising directly)	Not applicable

SUMMARY:

The third and final piece of legislation has now been enacted, and structures down to individual positions for the new Auckland Council, Auckland Transport and Watercare Services Limited have been developed.

The Auckland Transition Agency continues to coordinate a series of workstreams to implement the transition to a new Auckland Council that it has been tasked with.

Rodney District Council is involved through officer participation in the workstreams, secondment of staff to projects, and reviews of legislation and discussion documents.

Appointments have now been made to many first, second and third tier roles. The process of matching existing staff to roles and then the recruitment to remaining positions is now starting.

The purpose of this report is to update the Committee on progress towards the establishment of the new Auckland Council and its associated organisations.

RECOMMENDATION:

That the update on Auckland Regional Governance be received.

1.0 Background

The third piece of legislation has now been passed and so unless a local bill to have Rodney District removed from the Auckland Region is successful all of the focus is now on implementing the enacted legislation.

2.0 Issues

2.1 Legislation

The Local Government (Tamaki Makaurau Reorganisation) Act 2009 ("First Act") established the Auckland Transition Agency ("ATA"), placed some restrictions around the decision making powers of the existing councils, and outlined the disestablishment of existing councils and establishment of a new Auckland Council as of 1 Nov 2010.

The Local Government (Auckland Council) Act 2009 ("Second Act") advised that all of Rodney District would be included in the new Super-City, more formally established the Auckland Council, described the governance arrangements including Mayor, councillors and local boards, and stated obligations around annual plans and annual reports.

The third piece of legislation, the Local Government (Auckland Law Reform) Bill, when introduced back into Parliament after the Select Committee process was split into three separate pieces of legislation and so now that it has been passed has resulted in three separate Acts. The rationale here was that one Act continues amendments to the First Act, the next amendments to the Second Act and the next consists of new provisions.

2.2 Local Bill

A local bill has been introduced to Parliament seeking to have Rodney District removed from the new Auckland Council's boundaries. The Council is now waiting to see what process will be followed for this local bill and ultimately whether or not it will be successful.

2.3 Auckland Transition Agency Actions

Work continues within the fourteen workstreams that ATA have identified within a draft project outline, and noted below:

- Governance
- Workforce & Human Resources
- Community Services
- Customer Services
- Finance & Treasury
- Communications & Public Affairs
- CCOs, Trusts, Investments & Infrastructure
- Business Processes & Systems
- Legal
- Environment
- Property & Assets
- Economic Development
- Transport
- Watercare

These workstreams are then broken down into sub-streams, resulting in around 100 sub-streams, and a substantial amount of work has involved reviewing the interdependencies between these sub-streams as each involves a separate project team and project plan.

All staff received a letter in mid-April stating whether their role was in the change process, was no longer in the change process, or was to be disestablished as of 31 October 2010.

A second round of letters to those in the change process or disestablished was issued in mid-May, advising some that after further work on structure and responsibilities they were no longer in the change process and so were allocated to a role.

ATA have now also produced Workforce Plans (see more below under Recruitment) for each function of the new Council and Transport Agency, for which they are seeking feedback. Everyone has the ability to provide general feedback on the proposed structure, and those still in the change process can provide more personal feedback on their preferences.

2.4 Structure for New Auckland Organisations

Auckland Council's role has been reasonably clearly defined through legislation and the ongoing work of ATA culminating in an organisational structure showing all positions and their responsibilities.

Similarly Auckland Transport and Watercare Services Limited respective roles are outlined in legislation and structures have been determined.

The remaining five Council Controlled Organisations (CCO's) that were identified in cabinet minute papers have been the subject of an ATA discussion document which outlined their roles and responsibilities, but these have not been finalised yet and so there is no indication as to whether and when these will be established through the Order in Council process. The one exception to this is the Waterfront Development Agency which the Third Act specifically requires to be established but doesn't give full details as to role and responsibilities. The four remaining CCO's not specifically legislated for are:

- Property Holdings & Development
- Economic Development, Tourism & Events Agency
- Major Regional Facilities
- Council Investments

2.5 Recruitment within New Structure

Recruitment has been initiated and in many cases completed for the top levels for three of the organisations involved in the transition process, namely Auckland Council, Auckland Transport and Watercare Services Limited.

Attached as **Appendix 1** are the appointments made to date.

Watercare Services Limited is currently undertaking a recruitment process for fourth tier positions that have been assessed as competitive (i.e. not matched to existing role).

For Auckland Council and Auckland Transport, Workforce Plans have been produced which outline the structure for each of nineteen functional areas. For each position identified in the structure information is given as to the purpose of the role and how recruitment will be undertaken. For instance whether it is expected that existing roles will be matched, whether the positions will be ring fenced, etc. In addition for any roles which are not to be matched full position descriptions are available.

A follow up exercise has now started whereby each position will be ring fenced so as to determine who will be able to apply for that role based on some similarities in accountabilities to existing roles. From this exercise matching will occur and recruitment initiated resulting in many appointments being made.

Anyone not appointed as part of this exercise will fall into a redeployment pool where other appropriate roles may be identified.

At the end of this process everyone will then receive a letter, which by law must be no later than 30 September 2010, advising as to whether they have a role in the organisations or their employment is to be terminated.

2.6 RDC Actions

Work on the various workstreams established by the Auckland Transition Agency (ATA) continues as does other more general work related to the transition, such as submissions to bills, and reviews of ATA discussion documents. There are now 130 RDC staff that have recorded time within the Council's transition time recording system with total time recorded of close to 25,000 hours.

Practically all staff have now also been involved in reviewing the proposed Workforce Plans and in many cases indicating personal preferences for roles.

3.0 **Option**

Not applicable.

4.0 **Conclusion**

That the current update be received and that further information be provided to the committee at each Audit Committee meeting.

APPENDIX 1

Senior Appointments – Auckland Council

- Interim Chief Executive: **Doug McKay**
- Chief Operating Officer: **Patricia Reade**, who is currently Deputy Chief Executive (responsible for Work and Income) at the Ministry of Social Development.
- Chief Planning Officer: **Dr Roger Blakeley**. He is currently the Chief Executive at Porirua City Council.
- Chief Financial Officer: **Andrew McKenzie**, who is currently General Manager of Auckland City Council's finance division.
- General Counsel: **Wendy Brandon**, who until recently was Legal Counsel for the Ministry of Health.
- Manager Risk and Assurance: **Natalie Verdouw**, currently the National Audit Manager for FirstMac Ltd (Australia).
- Manager Communications and Public Affairs: **Shelley Watson**, who is General Manager of Marketing and Communications at the Auckland Regional Transport Authority.
- Manager Civil Defence and Emergency Management: **Clive Manley**. He is a consultant specialising in civil defence emergency management and is currently the Northland Group Controller and Chairman of the Northland Co-ordinating Executive Group.
- Manager Customer Services: **Nigel King**, currently Group Manager Customer Services, Auckland City Council.
- Manager Parks, Sports and Recreation: **Ian Maxwell**, currently Director Community, Manukau City Council.
- Manager Libraries and Information: **Allison Dobbie**, currently Group Manager Libraries, Auckland City Council.
- Manager Community Development, Arts and Culture: **Louise Mason**, currently General Manager – Programmes and Partnerships, Auckland Regional Council.
- Manager Environmental Strategy and Policy: **Ludo Campbell-Reid**, currently Group Manager Urban Design, Auckland City Council.
- Manager Infrastructure and Environmental Services: **John Dragicevich**, currently Director City Services, Waitakere City Council.
- Treasurer: **Mark Butcher**, formerly General Manager, AllianceBernstein NZ Limited, Wellington.
- Manager Democracy Services: **Darryl Griffin**, currently Group Manager Democracy and Support Services, Waitakere City Council.
- Manager Information Systems: **Mike Foley**, currently Chief Information Officer, Watercare Services Limited.
- Manager Property: **Ian Wheeler**, currently Property Group Manager, Auckland City Council.
- Manager CCO Governance and Monitoring: **Jaine Lovell-Gadd**, currently Corporate and Business Services Director, Waitakere City Council.
- Manager Accounting Services: **Delaine Wilson**, currently General Manager - Shared Services, NZ Post.
- Manager Finance: **Kevin Ramsay**, currently Director Corporate Services, Rodney District Council.
- Manager Local Board Services: **Karen Lyons**, currently Group Manager, Strategy Office Auckland City Council.

Senior Appointments – Auckland Transport

- Chief Finance Officer: **Brian Monk**, currently Chief Operating Officer of the Auckland Regional Council.
- Chief Operating Officer: **Fergus Gammie**, currently Chief Executive of the Auckland Regional Transport Authority (ARTA).
- Chief Infrastructure Officer: **Dr Kevin Doherty**, currently National Manager Professional Services at the New Zealand Transport Agency.
- Manager Strategy and Planning: **Peter Clark**, currently General Manager Strategy and Planning, ARTA.
- Manager Communications and Public Affairs: **Wally Thomas**, currently Director Public Affairs, Waitakere City Council.
- Manager Human Resources: **John Woods**, currently General Manager Organisation Performance, Auckland City Council.
- Manager Road Corridor Operations: **Andrew Allen**, currently Group Manager, Transport Safety, Assets and Operations, Auckland City Council.
- Manager Road Corridor Access: **Alan Wallace**, currently Group Manager Transport Infrastructure, North Shore City Council.
- Manager Public Transport Operations: **Mark Lambert**, currently General Manager, Customer Services, ARTA.
- Manager Major Projects and Project Management Office: **Rick Walden**, currently Director Economic, Manukau City Council
- Manager Finance: **Stephen Smith**, currently General Manager Corporate Services, ARTA.
- Manager Property: **Deborah Godinet**, currently Group Manager CBD Projects, Auckland City Council.
- Manager IT and Business Systems: **Roger Jones**, currently IT Manager, ARTA.
- Manager Community Transport: **Matthew Rednall**, currently Strategic Transport Planning Manager, ARTA.
- Manager Asset Management & Programming: **Andrew Finch**, currently Transportation Manager (Special Projects), GHD Ltd.

An appointment to the position of Interim Chief Executive, Auckland Transport, is yet to be announced.

Senior Appointments – Watercare Services Limited

- Transition Chief Executive: **Ian Parton**
- Manager Water Treatment: **Shayne Cunis**
- Manager Wastewater Treatment: **Mark Bourne**
- Manager Networks: **Anin Nama** (Metrowater)
- Manager Performance and Compliance: **Myles Lind**
- Manager Asset Planning: **David Blow**
- Manager Asset Projects: **Tim Munro**
- Manager Statutory Planning: **Garry Maskill**
- Manager New Developments: **Geof Stewart** (Manukau Water)
- Financial Controller: **Richard O'Connor**
- Manager Treasury: **Jason Isherwood** (Auckland City Council)
- Manager Corporate Services: **Marlon Bridge** (Manukau Water)
- Manager Customer Services: **Matt Telfer** (Metrowater)
- Manager Customer Support & Strategy: **Wendy Harvey** (Franklin D.C)

SUBJECT PUBLIC EXCLUDED

Recommendation:

That the public be excluded from the following parts of the proceedings of this meeting, namely:

Agenda Item No(s) 7, 8, 9 and 10

The general subject of each matter to be considered while the public is excluded, the reason for passing this resolution in relation to each matter, and the specific grounds under section 48(1) of the Local Government Official Information and Meetings Act 1987 for the passing of this resolution are as follows:

General subject of each matter to be considered	Reason for passing this resolution in relation to each matter	Grounds under section 48(1) for the passing of this resolution
RDC Business Continuity Arrangements	The disclosure of information would not be in the public interest because of the greater need to protect the privacy of natural persons, including that of deceased natural persons (section 7(2)(a) Local Government Official Information and Meetings Act 1987).	That the public conduct of the whole or the relevant part of the proceedings of the meeting would be likely to result in the disclosure of information for which good reason for withholding would exist, under section 6 or section 7 (except section 7(2)(f)(i) of the Act). (section 48(1)(a) Local Government Official Information and Meetings Act 1987.)
Weather Tightness Issue	The disclosure of information would not be in the public interest because of the greater need to maintain legal professional privilege (section 7(2)(g) Local Government Official Information and Meetings Act 1987).	That the public conduct of the whole or the relevant part of the proceedings of the meeting would be likely to result in the disclosure of information for which good reason for withholding would exist, under section 6 or section 7 (except section 7(2)(f)(i) of the Act). (section 48(1)(a) Local Government Official Information and Meetings Act 1987.)
Booking Transaction Investigation	The disclosure of information would not be in the public interest because of the greater need to maintain legal professional privilege (section 7(2)(g) Local Government Official Information and Meetings Act 1987).	That the public conduct of the whole or the relevant part of the proceedings of the meeting would be likely to result in the disclosure of information for which good reason for withholding would exist, under section 6 or section 7 (except section 7(2)(f)(i) of the Act). (section 48(1)(a) Local Government Official Information and Meetings Act 1987.)
Financial Contributions	The disclosure of information would not be in the public interest because of the greater need to maintain legal professional privilege (section 7(2)(g) Local Government Official Information and Meetings Act 1987).	That the public conduct of the whole or the relevant part of the proceedings of the meeting would be likely to result in the disclosure of information for which good reason for withholding would exist, under section 6 or section 7 (except section 7(2)(f)(i) of the Act). (section 48(1)(a) Local Government Official Information and Meetings Act 1987.)

CONFIDENTIAL